

UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

09/834,171

Docket No.: 363779/0002

Applicant(s)

Seth I. Merrin, et al.

Examiner:

Kyle, Charles R.

Filed

April 12, 2001

TC/AU:

3624

Title

ELECTRONIC SECURITIES MARKETPLACE HAVING

INTEGRATION WITH ORDER MANAGEMENT

SYSTEMS

December 10, 2004

Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

AMENDMENT

Sir:

In response to the Office Action mailed August 10, 2004, please enter this Amendment in the above-identified application. To ensure consideration and entry of this Amendment, Applicants are filing herewith a Request for Continued Examination. The time for responding to the Office Action has been extended until December 10, 2004 by virtue of the Petition and Fee for Extension of Time, filed herewith.

Listing of Claims begins on page 2 of this paper.

Remarks/Arguments begin on page 16 of this paper.